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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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09/275,568

03/24/1999

MICHAEL C. PITMAN

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06/16/2006

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EXAMINER

ART UNIT

PAPER NUMBER

DATE MAILED: 06/16/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

Notification of Non-Compliant Appeal Brief (37 CFR 41.37)	Application No. 09/275,568	Applicant(s) PITMAN ET AL.	
	Examiner Cheyne D. Ly	Art Unit 2168	

--The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

The Appeal Brief filed on 23 March 2006 is defective for failure to comply with one or more provisions of 37 CFR 41.37.

To avoid dismissal of the appeal, applicant must file an amended brief or other appropriate correction (see MPEP 1205.03) within **ONE MONTH or THIRTY DAYS** from the mailing date of this Notification, whichever is longer.
EXTENSIONS OF THIS TIME PERIOD MAY BE GRANTED UNDER 37 CFR 1.136.

1. ☐ The brief does not contain the items required under 37 CFR 41.37(c), or the items are not under the proper heading or in the proper order.
2. ☒ The brief does not contain a statement of the status of all claims, (e.g., rejected, allowed, withdrawn, objected to, canceled), or does not identify the appealed claims (37 CFR 41.37(c)(1)(iii)).
3. ☒ At least one amendment has been filed subsequent to the final rejection, and the brief does not contain a statement of the status of each such amendment (37 CFR 41.37(c)(1)(iv)).
4. ☐ (a) The brief does not contain a concise explanation of the subject matter defined in each of the independent claims involved in the appeal, referring to the specification by page and line number and to the drawings, if any, by reference characters; and/or (b) the brief fails to: (1) identify, for each independent claim involved in the appeal and for each dependent claim argued separately, every means plus function and step plus function under 35 U.S.C. 112, sixth paragraph, and/or (2) set forth the structure, material, or acts described in the specification as corresponding to each claimed function with reference to the specification by page and line number, and to the drawings, if any, by reference characters (37 CFR 41.37(c)(1)(v)).
5. ☐ The brief does not contain a concise statement of each ground of rejection presented for review (37 CFR 41.37(c)(1)(vi)).
6. ☐ The brief does not present an argument under a separate heading for each ground of rejection on appeal (37 CFR 41.37(c)(1)(vii)).
7. ☒ The brief does not contain a correct copy of the appealed claims as an appendix thereto (37 CFR 41.37(c)(1)(viii)).
8. ☐ The brief does not contain copies of the evidence submitted under 37 CFR 1.130, 1.131, or 1.132 or of any other evidence entered by the examiner **and relied upon by appellant in the appeal**, along with a statement setting forth where in the record that evidence was entered by the examiner, as an appendix thereto (37 CFR 41.37(c)(1)(ix)).
9. ☐ The brief does not contain copies of the decisions rendered by a court or the Board in the proceeding identified in the Related Appeals and Interferences section of the brief as an appendix thereto (37 CFR 41.37(c)(1)(x)).
10. ☒ Other (including any explanation in support of the above items):

See next page.




**TIM VO
PRIMARY EXAMINER**

In regard to Items 2 and 7, the pending claims are 1, 4-15, and 31-35, as of the Final Office Action, mailed June 29, 2005. The Corrected Appeal Brief, filed March 23, 2006 is specific to claims 1 and 4-15. The Appendix in said brief only lists claims 1 and 4-15. It is noted claims 31-35 have been added in the claim amendment filed September 14, 2004 and rejected in said Final Office Action.

In regard to Item 3, Applicant has proposed an amendment to claim 1, filed September 1, 2005, subsequent to the final rejection, mailed June 29, wherein said amendment has not been entered as indicated by the Advisory Office Action, mailed September 15, 2005. However, the briefs, filed December 07, 2005 and March 23, 2006, do not contain a statement of the status of each such amendment.

In regard to Item 10, the Corrected Appeal Brief, filed March 23, 2006, appears to be nearly identical to the Substitute Appeal Brief, filed December 08, 2003. Applicant has received a Notification of Non-Compliance brief, mailed March 01, 2004, as directed to said Substitute Appeal Brief. It is noted the Corrected Appeal Brief, file March 23, 2006, differs from said Substitute Appeal Brief, because of the added discussion on pages 11-12 of the Corrected Appeal Brief. However, the added discussion does not address the non-compliance issues identified in the Office Action, mailed March 01, 2004.


6/02/06